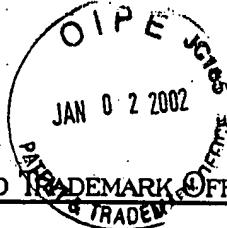




UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/939,917 | 08/27/2001 | Alex Mashinsky | 5106-2 |

CONFIRMATION NO. 4061

FORMALITIES LETTER



OC000000006813955

COHEN, PONTANI, LIEBERMAN & PAVANE
Suite 1210
551 Fifth Avenue
New York, NY 10176

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Thien Pham
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/07/2002 BNGUYEN1 00000025 09939917

01 FC:105

130.00 OP



Attorney Docket # 5106-2

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Alex MASHINSKY et al.

Serial No.: 09/939,917

Filed: August 27, 2001

For: Online Trading and Dynamic Routing of
Electric Power Among Electric Service
Providers

| | |
|--|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on | November 28, 2001 |
| (Date of Deposit) | |
| Alfred W. Froebich | |
| Name of applicant, assignee or Registered Representative | |
| | |
| Signature | |
| November 28, 2001 | |
| Date of Signature | |

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION -FILING DATE GRANTED**

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R:

In order to complete the filing of missing parts for the above-identified application, applicant(s) submit(s) herewith the following:

- Executed Declaration and Power of Attorney (attached to a copy of the application as originally filed). The application filed in the U.S. Patent and Trademark Office is the application which the inventor(s) executed by signing the enclosed Declaration.
- Check in the amount of \$130.00 to cover the late filing of the Declaration and Power of Attorney, as required by 37 CFR 1.16(e).
- Copy of Notice to File Missing Parts of Nonprovisional Application

If an extension of time to respond to this Notice To File Missing Parts of Application is required, then this paper also constitutes an express request for such an extension of time.

In the event that any additional fees or charges are deemed necessary in connection with the application at the present time, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By: _____

Alfred W. Froebrich
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551 Fifth Avenue
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Dated: 28 November 2001